MOOMOO SECURITIES AUSTRALIA LTD Collection Notice

How and why we collect your information (Collection Notice)

This Privacy Collection Statement applies to the collection of personal information by or on behalf of Moomoo Securities Australia Ltd (ABN 51095920648, NZBN: 9429052402296) of Suite 5.01, Level 5, 570 George Street, Sydney, NSW 2000, Australia (Moomoo AU, we, us, our).

Moomoo AU may collect personal information from you for a range of reasons, depending on our particular dealings or interactions with you. Generally speaking, Moomoo AU collects personal information as part of our account opening and account administration processes, to provide you with information and to provide you with services you request from us.

Moomoo AU may also be required or authorised by law to collect certain personal information from you and/or disclose your personal information. For example, Moomoo AU may be required to collect and disclose certain information in order to comply with the identification and verification requirements imposed under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (Cth) and the *Anti-Money Laundering and Counter-Terrorism Financing Rules Instrument 2007 (No. 1)* and the *Anti-Money Laundering and Countering Financing of Terrorism Act 2009* and the regulations under that act in New Zealand ("**AML/CTF Laws**").

Moomoo AU collects this personal information for the purposes of supplying you with information you request from us, supplying our services to you, verifying your identity as required by applicable laws, personalising your experience with our services, conducting marketing, promotions and events, contacting you to obtain your feedback regarding our services or to conduct other market research, analysing information we collect so that we can administer, support, improve and develop our business and the services we offer and complying with the obligations of Moomoo AU under any applicable laws.

If you do not provide the personal information requested by Moomoo AU or provide incomplete or inaccurate information, Moomoo AU, may not be able to accept or process your application, provide services or information to you or may be limited in how we can interact with you.

The Moomoo AU Privacy Policy sets out details of how Moomoo AU will collect, store, manage, use and disclose personal information it collects from you. The Moomoo AU Privacy Policy is available from us on request and can also be accessed on our website. The Moomoo AU Privacy Policy also contains information about how you can access and seek correction of the personal information held by Moomoo AU, how you can make a complaint to Moomoo AU about a breach of the *Privacy Act 1988* (Cth) and/or the *Privacy Act 2020* (New Zealand) (**Privacy Act**) or any registered code under the Privacy Act that binds Moomoo AU, and how Moomoo AU will respond to and deal with such a complaint.

Sharing your personal information to

Moomoo AU may disclose your personal information to:

- related companies of Moomoo AU located in Australia, New Zealand or overseas in order to provide our services to you;
- our service providers, contractors and other third parties and their respective officers, employees, agents or subcontractors located in Australia, New Zealand or overseas that provide products or

services to us (including our technology service providers, payment processors, verification services and administration service providers);

- other third parties that are integral to the provision of our services located in Australia, New Zealand or overseas (including financial institutions and other payment processors);
- our professional advisors (including legal and accounting firms, auditors, consultants, insurers and other professional advisers);
- people or entities considering acquiring an interest in Moomoo AU or any business or assets of Moomoo AU;
- third party service providers for identity verification or Anti-Money Laundering compliance related purposes; and
- government authorities where required by law.

Computing and commerce are globalised and consequently we may need to share personal information overseas, for instance while using cloud computing services. Visit our Privacy Policy for more information.

Your rights and contacting Moomoo AU

For further information or enquiries regarding your personal information, or if you would like to opt-out of receiving any promotional or marketing communications, correct your personal information, or make a privacy complaint, please contact Moomoo AU using any of the following contact details:

Email: support@nz.moomoo.com

Website: https://www.moomoo.com/nz

Post: Suite 5.01, Level 5, 570 George Street, Sydney, NSW 2000, Australia